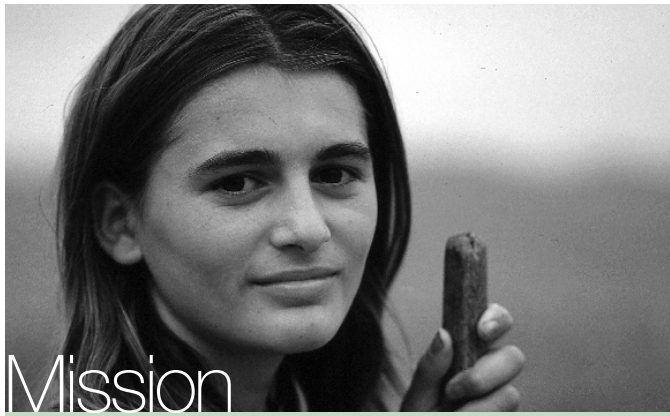


Update 2002  
The Alan Guttmacher Institute



## Mission

The Alan Guttmacher Institute advances sexual and reproductive health and rights in the United States and worldwide through an interrelated program of social science research, policy analysis and public education to encourage enlightened public debate, promote sound policy and program development, and inform individual decision-making.

## Values

The Alan Guttmacher Institute's (AGI's) program is guided by six overarching institutional values:

- Attention to methodological rigor and accuracy as fundamental to the integrity and credibility of the Institute's research;
- Commitment to publish and disseminate results of the Institute's research regardless of the political or program ramifications and to evidence-based public education and advocacy;
- Openness to multiple perspectives of outside experts to enrich the Institute's program and enhance its understanding of the issues;
- Anticipation and study of emerging issues to equip the Institute to inform public debate when such issues gain prominence;
- Balance between new and continuing priorities to ensure that the Institute is both on the cutting edge of scholarly and political thought and fully committed to core issues of ongoing importance; and
- Collaboration with others to expand the reach and sustainability of the Institute's efforts.

# Guiding Principles



3

## **A Vision for the Future**

The Institute envisions a world in which all women and men have the ability to exercise their rights and responsibilities—freely and with dignity—regarding sexual behavior, reproduction and family formation. Essential to this vision are public- and private-sector health and social policies that support both personal decisions about whether and when to have a child as well as parenthood and parenting. Also essential is eradication of persistent gender inequality throughout the world and the attainment of equal status, rights and responsibilities for women within sexual, familial and social relationships, and in all aspects of public life.

## **An Integrated View of Sexual and Reproductive Health and Rights**

The Institute regards sexual and reproductive health, and sexual and reproductive rights, as closely related and inherently interdependent. Sexual health is integral to reproductive health; sexual and reproductive rights are fundamental to the achievement of sexual and reproductive health. Therefore, recognizing the range of inter-related needs people have over the course of their lives from adolescence onward, the Institute works to protect, expand and equalize access to information and services that will enable them to:

- Avoid unplanned pregnancies;
- Prevent and treat sexually transmitted infections, including HIV;
- Exercise the right to choose abortion;
- Achieve healthy pregnancies and births;
- Balance parenting with other roles; and
- Have healthy, satisfying sexual relationships.

## **Priority Attention to Those with Greatest Need**

The Institute promotes the sexual and reproductive health and rights of all people, but gives priority attention to addressing the needs and concerns of those whose access to information, services or other societal benefits may be impeded by their age, marital status, geography or income, or by virtue of gender, racial, ethnic, religious or cultural discrimination.

## **A Responsibility to the United States and the World**

As a U.S. organization, the Institute is acutely aware of the pressing need, and undertakes as its first responsibility, to improve the quality of policy and programs concerning sexual and reproductive health and rights in the United States. Understanding further that the political, cultural and financial power of the United States can have considerable impact on sexual and reproductive health and rights throughout the world, the Institute places a similarly high priority on monitoring and analysis of U.S. policy as it affects women and men in other countries. In its international efforts, mindful of the underlying commonalities across and within countries under which sexuality is expressed, families or unions are formed, and children are conceived and born, the Institute emphasizes the development and dissemination of thematic comparative analyses that are global or regional in scope and country-specific work that will have the greatest relevance in other parts of the world.

## Projects in Progress



■ **Family planning and reproductive health needs in the United States.** Through a nationwide assessment, AGI aims to improve the reach, efficiency and effectiveness of publicly funded family planning and reproductive health services in the United States, with particular attention to the needs of women and teenagers who have the greatest difficulty obtaining care. Through nationwide surveys, detailed analyses of government data and monitoring of state laws and policies, AGI will provide new information to be disseminated in peer-reviewed journals, freestanding and Web-based reports, and an electronic newsletter specifically oriented to the information needs of health care providers and administrators.

■ **Contraceptive effectiveness and unintended pregnancy.** To compensate for the underreporting of abortion in national surveys such as the National Survey of Family Growth and to more accurately estimate the effectiveness of contraceptive use, AGI will—as it does periodically—survey a nationally representative sample of 10,000 U.S. abortion patients regarding their contraceptive use and pregnancy.

■ **Regional trends in sex education in the United States.** Using information obtained through AGI's surveys of school superintendents and sex education instructors, AGI seeks to investigate regional variation in what teachers think is the impact of what they teach on their students and what they perceive the effects to be of restrictive school policies and abstinence-only curricula.

■ **Increasing media awareness of emerging policy issues in reproductive health.** AGI aims to foster expanded, well-informed media coverage of reproductive health issues through activities revolving around

news and analyses published in *The Guttmacher Report on Public Policy*, the Institute's policy review, using a mix of communications tools and strategies.

■ **Knowledge gaps regarding the sexual and reproductive health of Latinas in the United States.**

To raise community awareness, stimulate further research and guide future priorities, AGI is planning a consultation with Latina leaders and researchers with expertise in this area, with the goal of identifying key barriers in service provision and prioritizing the areas of policy- and program-relevant knowledge that require further investigation.

■ **Recapturing the abortion agenda in the states.**

Building on its strengths at the national level, AGI is undertaking an information outreach effort aimed at top decision-makers and opinion leaders—in all 50 states and the District of Columbia—with a new, state-relevant knowledge base. By fully exploiting information from a survey of women having abortions, a survey of abortion providers and a new in-depth effort to learn more about the reasons behind unintended pregnancy and abortion, AGI plans to construct new, persuasive arguments supporting a woman's right to choose. This effort will be guided by an electronic advisory network of national, state and local informants.

■ **Parental involvement in adolescent contraceptive decision-making.** To create awareness of the extent to which adolescents talk with their parents about contraceptive use and factors that limit or encourage adolescent-parent communication about sex and contraception, AGI is conducting national surveys of family planning agencies and teenagers who use them and reviewing existing information. This information will be essential in



estimating the impact of proposed parental involvement laws on contraceptive use, pregnancy and sexually transmitted diseases (STDs) among teenagers.

■ **A new Internet resource for state policymakers and stakeholders.** To provide state officials, advocates and the media with the resources needed to make the case for sexual and reproductive health and rights in all 50 states and the District of Columbia, AGI is creating a user-friendly storehouse of information on its Web site and has launched a quarterly electronic newsletter featuring information and analyses of interest at the state level.

■ **Identifying service changes to improve contraceptive use.** With a project aimed at improving contraceptive use and reducing unintended pregnancy in the United States, AGI seeks to expand the knowledge base needed to move from basic research to effective program and policy change. Specifically, AGI plans to identify key factors that, from a woman's perspective, contribute to poor contraceptive use, and to match them with information on contraceptive service delivery practices.

■ **The sexual and reproductive health needs of people living with HIV/AIDS.** From AGI's close relationship with people on the front lines at family planning clinics and through discussions with their counterparts in the HIV/AIDS treatment community, AGI recognizes the necessity of meeting the sexual and reproductive health needs of people living with HIV/AIDS. With this project, AGI is mapping out the reproductive health needs and desires of Americans living with HIV, the effectiveness with which they are being met, and the ways in which experts in the reproductive health and HIV arenas can better work together.

■ **Charting new paths regarding the case for comprehensive sex education.** AGI aims to counter the escalating strength and influence of the abstinence-only movement in the United States through an outreach program designed to make the case for comprehensive sex education that includes information about contraception. A PowerPoint presentation available on AGI's Web site and other materials will bring together the latest research about sex education and lay out compelling policy arguments for comprehensive sex education.

■ **Adolescent sexual and reproductive behavior and health in Sub-Saharan Africa.** AGI is investigating the sexual and reproductive behavior and needs of young people in Sub-Saharan Africa, focusing on Nigeria as a case study of what might be done to meet these needs. AGI seeks to explore barriers to serving their needs related to sexual behavior, unintended pregnancy, abortion, childbearing and STD/HIV infection; to raise awareness of the grave consequences of ignoring adolescents' sexual and reproductive health needs; and to draw out problems and lessons learned throughout the region.

■ **Achieving partnership in sexual and reproductive health: twin projects with an emphasis on men in the United States and worldwide.** In two reports, AGI aims to bring together available knowledge on men's needs and roles in relation to sexual and reproductive health in a comprehensive way through a variety of public education materials and efforts. With a first report geared to American researchers, health care professionals, activists and policymakers, and a second report geared to similar audiences internationally, AGI aims to dispel assumptions about the role of men in



sexual and reproductive decisions; to provide a balanced and knowledgeable assessment; to begin to define a set of sexual and reproductive health and educational needs specific to men; to address men not just as adjuncts to women and their contraceptive needs; and to address concerns that directing attention to the needs of men—in particular young men and boys—will detract from the priority that must be given to the needs of women and girls.

■ **Roundtables to stimulate deeper understanding and more effective action on international and domestic issues.** To expand support for international and domestic assistance for sexual and reproductive health, AGI aims to expand and improve information sharing and coordination between advocates of U.S. population assistance and advocates of domestic family planning and reproductive health programs. Through open and frank dialogue, grounded in cutting-edge research and policy analysis, AGI and colleagues ensure that their efforts not only are informed and coordinated but also address emerging political and societal realities.

■ **Halting the spread of HIV in Sub-Saharan Africa: an initiative to stimulate and sharpen policies and programs focused on youth.** Over five years, in conjunction with collaborators in four Sub-Saharan African countries—Burkina Faso, Ghana, Malawi and Uganda—AGI plans to use a combination of qualitative and quantitative research to study factors that shape adolescent risk behaviors, attitudes and service use related to STDs, including HIV/AIDS, in the focus countries and in other regions worldwide, in order to inform effective prevention and treatment policies and programs. The findings of this project—titled “Protecting the Next Generation”—will be disseminated widely throughout the project to

inform deliberations of youth-serving programs and policies in the focus countries, regionally and internationally.

■ **Building partnerships to reduce youth HIV risk in Africa.** As a complement to the “Protecting the Next Generation” project, AGI will provide increased expertise and capacity in HIV-related research to two research centers: the African Population and Health Research Center in Kenya, and Unité d’Enseignement et de Recherche en Démographie in Burkina Faso.

■ **Documenting women’s experience with abortion in Guatemala, Nigeria, the Philippines and Uganda: an initiative to inform policies and programs.** AGI is working with in-country partners in each of the study countries to increase recognition of the conditions surrounding unsafe abortion, the extent of and reasons for unsafe abortion, and its consequences—including maternal mortality and morbidity. In addition, in Uganda AGI and its partners plan to explore the pregnancy decisions of HIV-positive women. Through a series of fact-gathering and analysis activities, accompanied by strategic outreach efforts, the Institute aims to provide new country-specific information that will also be used to highlight differences in reproductive health care needs both nationally and among the country’s major regions and to strengthen reproductive health advocacy at the national and regional levels.

■ **Cost-benefit analysis on reproductive health investments internationally.** With this one-year project, AGI plans to review and synthesize the main approaches to estimating the costs and the benefits of providing sexual and reproductive health care services in the developing world; to develop a more comprehensive approach; and to apply this approach to the delivery of contraceptive services and supplies.



## How Can You Help?



Become our partner in the advancement of sexual and reproductive health and rights worldwide, and join other friends of The Alan Guttmacher Institute as a supporter.

By making a **tax-deductible** gift now, you immediately enable the Institute to provide the most up-to-date, policy-oriented research and analysis on sexual and reproductive health to policymakers, activists, health care providers, the media and the public in the United States and around the world.

**There are many other ways to contribute to AGI. No matter which way you choose to demonstrate your support of sexual and reproductive health and rights, each gift is important and helps make a difference.**

### **Make a difference now with:**

- a check payable to The Alan Guttmacher Institute;
- a MasterCard, VISA or American Express donation by mail, telephone or e-mail or through the Institute's secure Web site at [www.guttmacher.org/support](http://www.guttmacher.org/support);
- a donation of stocks, bonds, real estate or other property;
- a grant from a family or community foundation or trust; or
- a matching gift from your employer.

### **Make one of the following gifts, and have an impact for years to come:**

- a **charitable remainder trust** that provides current income and tax benefits to you now and to AGI at the trust's expiration;
- a **charitable lead trust** that provides a substantial gift to the Institute now and returns its principal to you at the trust's expiration;
- a **life insurance policy** that names AGI as the beneficiary;
- a **retirement plan** in which AGI is named a beneficiary; or
- a **bequest** of cash, property or securities.

To make a **bequest**, the following language is suggested:

*"I give the sum of \$\_\_\_\_\_ (or \_\_\_\_% of the value of my estate) to The Alan Guttmacher Institute, a corporation organized under the Not-for-Profit Corporation Law of the State of New York, located at 120 Wall Street, New York, NY 10005, to be used by said corporation for the purposes for which it was incorporated."*

## A special opportunity to have your gift double in value

**Your gift to The Alan Guttmacher Institute's Founders Fund endowment will help assure that the Institute has the means to face any new and difficult challenge to the ability of future generations to exercise their sexual and reproductive rights and responsibilities fully and freely.**

**Through December 31, 2005, The Andrew W. Mellon Foundation will match the amount of every gift that AGI receives that is earmarked for the endowment. Please add your vote of confidence to the Foundation's recognition of AGI's leading role in the worldwide arena of sexual and reproductive health and rights.**

### **For further information, please contact:**

Marc Levin, *Director of Development*  
1-800-355-0244 ext. 2210  
[mlevin@guttmacher.org](mailto:mlevin@guttmacher.org)  
The Alan Guttmacher Institute  
120 Wall Street, 21st floor  
New York, NY 10005



# Statement of Financial Position

## Assets

Year ending December 31, 2002

Cash and cash equivalents	\$ 4,435,078
Investments	13,263,235
Contributions and grants receivable, net	3,408,896
Other receivables	200,086
Prepaid expenses and other assets	83,098
Security deposits	74,859
Property and equipment, net	527,660

**Total assets** **21,992,912**

## Liabilities and net assets

Accounts payable and accrued expenses	384,976
Deferred lease incentives	295,651
Deferred subscription revenue	51,888

**Total liabilities** **732,515**

## Net assets:

### Unrestricted:

Undesignated, available for general activities	1,655,645
Designated by the Board of Directors	3,020,006
Net investment in property and equipment	527,660

Total unrestricted 5,203,311

Temporarily restricted 14,122,136

Permanently restricted 1,934,950

**Total net assets** **21,260,397**

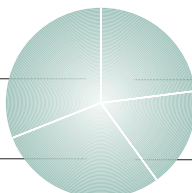
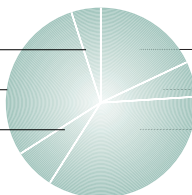
**Total liabilities and net assets** **\$ 21,992,912**

## Revenues

Contributions from individuals 5%	Government grants 18%
Project grants 29%	Other revenue 6%
Planned Parenthood support 7%	Unrestricted grants 35%

## Program expenditures

Policy-relevant research: domestic 31%	Professional and public education: domestic 23%
Policy-relevant research: international 29%	Professional and public education: international 17%





# Statement of Activities

Year ending December 31, 2002

	Unrestricted	Temporarily Restricted	Permanently Restricted	Total
<b>Revenue and support:</b>				
Contributions	\$ 941,495		\$ 111,432	\$ 1,052,927
Grants and contracts from government agencies	1,671,342			1,671,342
Grants from private organizations	1,197,553	\$ 3,717,101		4,914,654
Investment income	117,427	51,500		168,927
Net realized and unrealized gains on investments	239,092	308,855		547,947
Publication income and other revenue	148,042			148,042
<b>Total</b>	<b>4,314,951</b>	<b>4,077,456</b>	<b>111,432</b>	<b>8,503,839</b>
<b>Net assets released from restrictions</b>	<b>4,740,993</b>	<b>(4,740,993)</b>		<b>0</b>
<b>Total revenue and support</b>	<b>9,055,944</b>	<b>(663,537)</b>	<b>111,432</b>	<b>8,503,839</b>
<b>Expenses:</b>				
<b>Program services:</b>				
Policy-relevant research/domestic	1,873,210			1,873,210
Policy-relevant research/international	1,756,986			1,756,986
Professional and public education/ domestic	1,385,614			1,385,614
Professional and public education/ international	1,024,405			1,024,405
<b>Total program services</b>	<b>6,040,215</b>			<b>6,040,215</b>
<b>Supporting services:</b>				
Management and general	1,436,470			1,436,470
Fund-raising	636,713			636,713
<b>Total supporting services</b>	<b>2,073,183</b>			<b>2,073,183</b>
<b>Total expenses</b>	<b>8,113,398</b>			<b>8,113,398</b>
<b>Change in net assets</b>	<b>942,546</b>	<b>(663,537)</b>	<b>111,432</b>	<b>390,441</b>
Net assets at beginning of year	4,260,765	14,785,673	1,823,518	20,869,956
<b>Net assets at end of year</b>	<b>\$ 5,203,311</b>	<b>\$14,122,136</b>	<b>\$1,934,950</b>	<b>\$21,260,397</b>



**Annual Contributions of  
\$10,000 or more**

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The Alan Guttmacher Institute was honored to be remembered in the estate of **Jack Curtis Smith**. Jack was a pioneer in helping to shape the Centers for Disease Control and Prevention's Division of Reproductive Health and was committed to chronicling the history of the American family planning and reproductive rights movement. As a biostatistician, Jack concentrated on establishing accurate and useful monitoring systems for pregnancy, abortion and family planning services. He was a valued and beloved colleague, who was extremely generous with his time and talents and reviewed countless articles published in the Institute's journals. His contributions to the field of reproductive health and rights will long be remembered, as will he.

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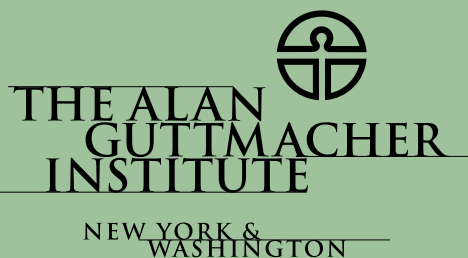
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